



**Supplemental Environmental Project
Third Party Administrator Quarterly Report Form**

Quarterly reports are required even if money is not received. Failure to report may result in your organization not being eligible for future funding.

QUARTER 1 REPORT - performance period Jan-Mar. The Quarter 1 report must be submitted by May 15 of each year.

1. 3rd Party Administrator Information and Certification

3rd Party Administrator: <u>AERCO/H-GAC</u>	Project: <u>Clean Cities/Clean Vehicles Program</u>
Region/affiliation if applicable: <u>Houston-Galveston-Brazoria non-attainment area</u>	Contact: <u>Shelley Whitworth</u>
County: <u>Harris</u>	Telephone: <u>713-499-6695</u>
Mailing Address: <u>P.O. Box 22777</u>	Alt Phone: <u>Christine Smith, 832-681-2556</u>
<u>Houston, TX 77227-2777</u>	Email: <u>shelley.whitworth@h-gac.com, christine.smith@h-gac.com</u>

Certification: I certify that all information submitted herein is true and correct to the best of my knowledge.

Performance Year: 2010 (Amended)

Signature: Christine Smith

(Insert initials or E-signature): _____

Signature Date 11/01/10

2. Project Budget Information (see section 5)

Go to Section 5

3. Project Status

A. Describe any activities performed on this project during the performance period (submit photographs, maps, etc. to document project):

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C. SEP funds returned to TCEQ SEP Coordinator this quarter: (add additional lines if necessary)

Attach or mail copies of checks

Respondent Name	Docket No.	Date of Agreed Order	Date \$\$ Recvd	Refund Due Date	Check No.	Date contribution returned to TCEQ	Dollar Amount Returned
From:				12/30/00			
From:				12/30/00			
From:				12/30/00			

QUARTER 1 REPORT - performance period Jan-Mar. The Quarter 1 report must be submitted by May 15 of each year.

5.A. SEP Bank Account Information

Last 4 digits of account #:	4173
Bank name:	JP Morgan Chase
Bank address:	P.O. Box 260180 Baton Rouge, LA 70826

5.B. Budget Information

IMPORTANT: Refer to <i>How Do I Get Started?</i> instructions on the website	
(under FORMS) for your annual budget	BUDGET
Estimated budget-beginning of calendar year?	\$ 5,000,000.00
Cell to right for agency use only	\$ -
Remaining budget amount	\$ 4,925,144.31

5.A.1. Quarterly SEP bank account information

Enter the Jan 1 bank balance of your SEP account
Enter interest earned on account (sum of Jan + Feb + Mar)

Jan 1 bank balance:	\$2,290,060.79
Total interest earned this quarter:	\$3,403.69
SEP contributions received this quarter:	\$71,452.00
SEP monies spent this quarter:	\$76,993.00
SEP monies returned to TCEQ this quarter:	\$0.00
End bank balance this quarter:	\$2,287,923.48

5.A.2 Annual SEP bank account information

Information in Section 5.A.2. self-calculates

ANNUAL TOTALS	
Calendar yr beginning bank balance:	\$2,290,060.79
YTD SEP contributions received :	\$71,452.00
YTD SEP monies spent :	\$76,993.00
YTD SEP monies returned:	\$0.00
YTD interest earned:	\$3,403.69
YTD end bank balance :	\$2,287,923.48

6. Additional Banking Comments



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7. DETAIL AREA FOR SEP-FUNDED SCHOOL BUS RETROFIT/REPLACEMENT ACTIVITIES

Name of School or Receiving Entity	No. of Buses Retrofitted this Period	Breakdown of Retrofit Activities				No. of Retrofits This Period	No. of buses repowered this period	No. of buses replaced this period
		Diesel Partic Filters (DPF)	Diesel Oxid Catalysts (DOC)	Closed Crank Filter Syst (CCFS)	Partial Flow Thru Filter (PTF)			
High Island ISD						0		1
						0		
						0		
						0		
						0		
						0		
						0		
						0		
						0		
						0		
						0		
						0		
						0		
						0		
						0		
						0		
						0		
						0		
						0		
						0		
						0		
Total School Bus Retrofit / Replacements	0	0	0	0	0	0	0	1

8. Reminders

Did you attach or mail copies of all checks, invoices, receipts, bank statements? Did you attach or mail before/after or final photographs? Did you transmit or mail bank statement summaries?	Need more time? Click here to request an extension of time to complete a report. Click here to request an extension of time to complete a project.
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9. Additional Comments:

There is text in the text box under Section 3; however, the cell is locked so it cannot be reformatted to show the information properly. -CMBS, 11/1/10

**Note - the dates listed under the Agreed Order column as actually the TCEQ Commissioner Approval dates -CMBS, 11/1/10*



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Quarterly reports are required even if money is not received. Failure to report may result in your organization not being eligible for future funding.

QUARTER 2 REPORT - performance period Apr-Jun. Quarter 2 must be submitted by **August 15** of each year.

1. 3rd Party Administrator Information and Certification (enter contact information in the Quarter 1 worksheet)

AERCO/H-GAC	Clean Cities/Clean Vehicles Program
Houston-Galveston-Brazoria non-attainment area	Shelley Whitworth
Harris	713-499-6695
P.O. Box 22777	Christine Smith, 832-681-2556
Houston, TX 77227-2777	shelley.whitworth@h-gac.com, christine.smith@h-gac.com

Certification: I certify that all information submitted herein is true and correct to the best of my knowledge.

Performance Yr: 2010 (Amended)

Printed signature: ⇒ Christine Smith

Signature Date: ⇒ 11/01/10

(Insert initials or electronic signature):

2. Project Budget Information (agency use only)

See Section 5

3. Project Status

A. Describe any activities performed on this project during the performance period (submit photographs, maps, etc to document project):

During this quarter, H-GAC staff worked on processing invoices, conducting site visits for confirmation of contract compliance, mailing and receiving monitoring reports associated with active projects and review of incoming applications. During this quarter, the H-GAC dispersed funds to Columbia-Brazoria ISD to retrofits 5 buses in the amount of \$14,697.50. (Comments taken directly from the most recently revised 2010 Q2 report as originally compiled and amended by Robert Veazie)



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C. SEP funds returned to TCEQ SEP Coordinator this quarter: (add additional lines if necessary)

Attach or mail copies of checks

Respondent Name	Docket No.	Date of Agreed Order	Date S Recvd	Refund Due Date	Check Number	Date Contribution Returned to TCEQ	Dollar Amount Returned
From:				12/30/00			
From:				12/30/00			
From:				12/30/00			

QUARTER 2 REPORT - performance period Apr-Jun. Quarter 2 must be submitted by Aug 15 of each year.

5.A. SEP Bank Account Information	5.B. Budget Information
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Interest earned on account	(enter sum of interest earned for April, May, and June)		
Last 4 digits of Account#		4173	
Bank name:	JP Morgan Chase		
Bank address:	P.O. Box 260180 Baton Rouge, LA 70826		
	Remaining budget amount from last quarter:	\$	4,925,144.31
	Interest earned this quarter:	\$	3,510.57
	Ending budget amount this quarter:	\$	4,893,169.74

5.A.1. Quarterly SEP bank account information	QUARTERLY TOTALS
	Q1 balance: \$2,287,923.48
	SEP contributions received this quarter: \$28,464.00
	SEP monies spent this quarter: \$14,697.50
	SEP monies returned to TCEQ this Q: \$0.00
	Interest earned this quarter: \$3,510.57
	End bank balance this quarter: \$2,305,200.55

5.A.2. Annual SEP Bank Account Information	ANNUAL TOTALS
Information in Section 5.A.2. self-calculates	Calendar yr beginning bank balance: \$2,290,060.79
	YTD SEP contributions received: \$99,916.00
	YTD SEP monies spent: \$91,690.50
	YTD SEP monies returned: \$0.00
	YTD interest earned: \$6,914.26
	YTD end bank balance: \$2,305,200.55

6. Additional Banking Comments



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7. DETAIL AREA FOR SEP-FUNDED SCHOOL BUS RETROFIT/REPLACEMENT ACTIVITIES

Name of School or Receiving Entity	No. of Buses Retrofitted this Period	Breakdown of Retrofit Activities				No. of Retrofits This Period	No. of buses repowered this period	No. of buses replaced this period
		Diesel Partic Filters (DPF)	Diesel Oxid Catalysts (DOC)	Closed Crank Filter Syst (CCFS)	Partial Flow Thru Filter (FTF)			
Columbia-Brazoria ISD	5		5	5		10		
						0		
						0		
						0		
						0		
						0		
						0		
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						0		
						0		
						0		
						0		
						0		
						0		
						0		
Total School Bus Retrofit / Replacements	5	0	5	5	0	10	0	0

8. Reminders

<input type="checkbox"/> Did you attach or mail copies of all checks, invoices, receipts, bank statements? <input type="checkbox"/> Did you attach or mail before/after or final photographs? <input type="checkbox"/> Did you transmit or mail bank statement summaries?	Need more time? <input type="checkbox"/> Click here to request an extension of time to complete a report. <input type="checkbox"/> Click here to request an extension of time to complete a project.
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9. Additional Comments:

*Note - the dates listed in the Agreed Order column are actually TCEQ Commissioner approval dates - CMBS, 11/1/10



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QUARTER 3 REPORT - performance period Jul-Sep. Quarter 3 must be submitted by Nov 15 of each year.

1. 3rd Party Administrator Information and Certification (enter contact information in the Quarter 1 worksheet)

AERCO/H-GAC	Clean Cities/Clean Vehicles Program
Houston-Galveston-Brazoria non-attainment area	Shelley Whitworth
Harris	713-499-6695
P.O. Box 22777	Christine Smith, 832-681-2556
Houston, TX 77227-2777	shelley.whitworth@h-gac.com, christine.smith@h-gac.com

Certification: I certify that all information submitted herein is true and correct to the best of my knowledge.

Performance Yr: **2010 (Amended)**
 Printed signature: ⇒ **Christine Smith** Signature Date: **11/01/10** ⇐
 (Insert initials or e-signature):

2. Project Budget Information (agency use only)

See Section 5.

3. Project Status

A. Describe any activities performed on this project during the performance period (submit photographs, maps, etc to document project):

No comments were included in the original 2010 Q3 report as compiled by Robert Veazie



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C. SEP funds returned to TCEQ SEP Coordinator this quarter: (add additional lines if necessary)

Attach or mail copies of checks

	Respondent Name	Docket No.	Date of Agreed Order	Date \$ Recvd	Refund Due Date	Check Number	Date Contribution Returned to TCEQ	Dollar Amount Returned
From:					12/30/00			\$0.00
From:					12/30/00			\$0.00
From:					12/30/00			\$0.00

QUARTER 3 REPORT - performance period Jul-Sep. Quarter 3 must be submitted by Nov 15 of each year.

5.A. SEP Bank Account Information	5.B. Budget Information	
Interest earned on accou (enter sum of interest earned for July, August, and September). Last 4 digits of Account # 4173 Bank name: <u>JP Morgan Chase</u> Bank address: <u>P.O. Box 260180</u> <u>Baton Rouge, LA 70826</u>	Budget amount from last quarter: \$ 4,893,169.74 Interest earned this quarter: → \$3,667.71 Cell to right for agency use only \$ - Ending budget amount this quarter: \$ 4,851,495.03	BUDGET
		QUARTERLY TOTALS
5.A.1 Quarterly SEP bank account information		
	Q2 balance:	\$2,305,200.55
	SEP contributions received this quarter:	\$38,007.00
	SEP monies spent this quarter:	\$0.00
	SEP monies returned to TCEQ this Q:	\$0.00
	Interest earned this quarter:	\$3,667.71
	End bank balance this quarter:	\$2,346,875.26
5.B.1. Annual SEP Bank Account Information		
		ANNUAL TOTALS
	Calendar yr beginning bank balance:	\$2,290,060.79
	YTD SEP contributions received:	\$137,923.00
	YTD SEP monies spent:	\$91,690.50
	YTD SEP monies returned:	\$0.00
	YTD interest earned:	\$10,581.97
	YTD end bank balance:	\$2,346,875.26

6. Additional Banking Comments



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7. DETAIL AREA FOR SEP-FUNDED SCHOOL BUS RETROFIT/REPLACEMENT ACTIVITIES

	No. of Buses Retrofitted this Period	Breakdown of Retrofit Activities				No. of Retrofits This Period	No. of buses repowered this period	No. of buses replaced this period
		Diesel Partic Filters (DPF)	Diesel Oxid Catalysts (DOC)	Closed Crank Filter Syst (CCFS)	Partial Flow Thru Filter (FTF)			
Name of School or Receiving Entity						0		
						0		
						0		
						0		
						0		
						0		
						0		
						0		
						0		
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						0		
						0		
						0		
						0		
						0		
						0		
Total School Bus Retrofit / Replacements	0	0	0	0	0	0	0	0

8. Reminders

¹ Did you attach or mail copies of all checks, invoices, receipts, bank statements? ¹ Did you attach or mail before/after or final photographs? ¹ Did you transmit or mail bank statement summaries?	Need more time? <input type="checkbox"/> Click here to request an extension of time to complete a report. <input type="checkbox"/> Click here to request an extension of time to complete a project.
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9. Additional Comments

*Note - the dates listed under the Agreed Order column are actually TCEQ Commissioner approval dates -CMBS, 11/1/10



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QUARTER 4 REPORT - performance period Oct-Dec. Quarter 4 must be submitted by Feb 15 of each following year.

1. 3rd Party Administrator Information and Certification (enter contact information in the Quarter 1 worksheet)

AERCO/H-GAC	Clean Cities/Clean Vehicles Program
Houston-Galveston-Brazoria non-attainment area	Shelley Whitworth
Harris	713-499-6695
P.O. Box 22777	Christine Smith, 832-681-2556
Houston, TX 77227-2777	shelley.whitworth@h-gac.com, christine.smith@h-gac.com

Certification: I certify that all information submitted herein is true and correct to the best of my knowledge.

Performance Yr **2010 (Amended)**

Printed signature: Robert Veazie Signature Date

(Insert initials or E-signature): RV

2. Project Budget Information (agency use only)

See Section 5.

3. Project Status

A. Describe any activities performed on this project during the performance period (submit photographs, maps, etc to document project):

During this quarter, H-GAC staff worked on processing invoice for High Island ISD, mailing and receiving monitoring reports associated with active projects and review of incoming applications. During this quarter, the H-GAC dispersed funds to High Island ISD to replace once vehicle in the amount of \$39,230.50.



Supplemental Environmental Project Third Party Administrator Quarterly Report

C. SEP funds returned to TCEQ SEP Coordinator this quarter: (add additional lines if necessary)

Attach or mail copies of checks

Respondent Name	Docket No.	Date of Agreed Order	Date \$ Recvd	(Leave blank)	Check Number	Date Contribution Returned to TCEQ	Dollar Amount Returned
From:				12/30/00			
From:				12/30/00			\$0.00
From:				12/30/00			\$0.00

QUARTER 4 REPORT - performance period Oct-Dec. Quarter 4 must be submitted by Feb 15 of each following year.

5.A. SEP Bank Account Information (Send in bank statements from previous year if not yet sent in.)

5.B. Budget Information

Interest earned on accou (enter sum of interest earned for October, November, and December)

Last 4 digists of account #	4173
Bank name:	JP Morgan Chase
Bank address:	P.O. Box 260180 Baton Rouge, LA 70826

BUDGET	
Remaining budget amount:	\$ 4,851,495.03
Interest earned: →	\$3,596.86
Ending budget amount this quarter:	\$4,837,898.17
QUARTERLY TOTALS	
Q3 account balance	\$2,346,875.26
Interest earned this Quarter	\$3,596.86
SEP contributions received this quarter	\$10,000.00
SEP monies spent this quarter	\$60,313.50
SEP monies returned to TCEQ this quarter	\$0.00
End bank balance this quarter	\$2,300,158.62

5.A.1 Quarterly SEP bank account information

5.B.1. Annual SEP Bank Account Information

ANNUAL TOTALS	
Calendar yr beginning bank balance	\$2,290,060.79
YTD SEP contributions received	\$147,923.00
YTD SEP monies spent	\$152,004.00
YTD SEP monies returned	\$0.00
YTD interest earned	\$14,178.83
YTD end bank balance	\$2,300,158.62

6. Additional Banking Comments



**Supplemental Environmental Project
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7.1 DETAIL AREA FOR SEP-FUNDED SCHOOL BUS RETROFIT/REPLACEMENT ACTIVITIES

Name of School or Receiving Entity	No. of Buses Retrofitted this Period	Breakdown of Retrofit Activities				No. of Retrofits This Period	No. of buses repowered this period	No. of buses replaced this period
		Diesel Partic Filters (DPF)	Diesel Oxid Catalysts (DOC)	Closed Crank Filter Syst (CCFS)	Partial Flow Thru Filter (FTF)			
High Island ISD					0	1	1	
Texas City ISD					0	1	1	
					0			
					0			
					0			
					0			
					0			
					0			
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					0			
					0			
					0			
Total School Bus Retrofit / Replacements	0	0	0	0	0	2	2	

8. Reminders

<input type="checkbox"/> Did you attach or mail copies of all checks, invoices, receipts, bank statements? <input type="checkbox"/> Did you attach or mail before/after or final photographs? <input type="checkbox"/> Did you transmit or mail bank statement summaries?	Need more time? <input type="checkbox"/> Click here to request an extension of time to complete a report. <input type="checkbox"/> Click here to request an extension of time to complete a project.
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9. Additional Comments

High Island ISD replaced 1 DODGE VAN with a CHEVY SUBURBAN



Supplemental Environmental Project Third Party Administrator Quarterly Report Form

Organization Name: AERCO/H-GAC

SEP Project Name: Clean Cities/Clean Vehicles Program

		Performance Year:				2010 (Amended)		
		Retrofit Activity Breakdown						
Total School Bus Retrofit/Replacements	No. of Buses Retrofitted this Period	Diesel Partic Filters (DPF)	Diesel Oxid Catalysts (DOC)	Closed Crank Filter Syst (CCFS)	Partial Flow Thru Filter (FTF)	No. of Retrofits This Period	No. of buses repowered this period	No. of buses replaced this period
Quarter 1 Data	0	0	0	0	0	0	0	1
Quarter 2 Data	5	0	5	5	0	10	0	0
Quarter 3 Data	0	0	0	0	0	0	0	0
Quarter 4 Data	0	0	0	0	0	0	2	2
Calendar Year Totals	5	0	5	5	0	10	2	3