

**APPROVAL OF AMENDMENTS TO THE 2016-2017
UNIFIED PLANNING WORK PROGRAM (UPWP)**

Background

The 2016-2017 Unified Planning Work Program (UPWP) describes the transportation plans and related air quality planning activities that will be undertaken in the region during fiscal years 2016 and 2017 (October 1, 2016 – September 30, 2017). Through the development of the UPWP, changes in regional transportation planning priorities are identified. The current 2016-2017 UPWP was initially adopted by the Transportation Policy Council (TPC) on July 24, 2015.

Current Situation

This amendment proposes to make the following changes:

1. Adjust personnel budgets in Tasks 3, 4 and 5 to better reflect remaining activities.

Action Requested

Recommend TPC approval of amendment to the 2016-2017 UPWP.



Resolution

ADOPTING AMENDMENTS TO THE 2016-2017 UNIFIED PLANNING WORK PROGRAM FOR THE HOUSTON-GALVESTON TRANSPORTATION MANAGEMENT AREA.

WHEREAS, the Transportation Policy Council adopted the 2016-2017 Unified Planning Work Program (UPWP) on July 24, 2015 and amended it on November 20, 2015, January 22, 2016, February 26, 2016, March 16, 2016, April 22, 2016, May 27, 2016, July 22, 2016, September 21, 2016, October 28, 2016, December 16, 2016, March 24, 2017 and April 28, 2017; and

WHEREAS, this amendment adjusts personnel budgets in Tasks 3, 4 and 5 to better reflect remaining activities.

NOW, THEREFORE, BE IT RESOLVED BY THE TRANSPORTATION POLICY COUNCIL, THAT REVISIONS TO THE 2016-2017 UNIFIED PLANNING WORK PROGRAM, AS SHOWN IN THE ATTACHMENT, ARE HEREBY APPROVED AND INCORPORATED INTO THE 2016-2017 UNIFIED PLANNING WORK PROGRAM.

PASSED AND APPROVED, this 26th day of May, 2017, at a regularly called meeting of the Transportation Policy Council for the Houston-Galveston Transportation Management Area.

APPROVED:

Hon. Matt Sebesta, Chairman
Transportation Policy Council

ATTEST:

Hon. Tom Reid, Secretary
Transportation Policy Council

UPWP AMENDMENT 13 – MAY 2017

TASK III CHANGES

Budget Change:

- **Subtask 3.2 Short Range Transit Planning** – Personnel budget increases by \$200,000 in TPF funds.
- **Subtask 3.3 Regional Operations/ITS** – Personnel budget decreases by \$15,000 in TPF funds.
- **Subtask 3.4 Safety Planning** – Personnel budget increases by \$15,000 in TPF funds.

Task 3 Funding Summary Table – Total funding for Task 3 increases by \$200,000 in TPF funds as displayed in the tables below.

BEFORE AMENDMENTS

Task 3 Funding Summary Table

TASK 3 - FY 2016	Task 3.1	Task 3.2	Task 3.3	Task 3.4	Task 3 Total
FHWA-FTA TPF	\$ 630,625	\$ 603,205	\$ 114,689	\$ 204,889	\$ 1,553,407
STP - Cat 7	\$ -	\$ -	\$ -	\$ -	\$ -
TxDOT State Funds	\$ -	\$ 45,000	\$ -	\$ -	\$ 45,000
TxDOT SPR	\$ -	\$ -	\$ -	\$ -	\$ -
FTA 5304/5307/5309/5339	\$ -	\$ 2,140,244	\$ -	\$ -	\$ 2,140,244
Other Local	\$ -	\$ 257,700	\$ -	\$ 15,000	\$ 272,700
TOTAL	\$ 630,625	\$ 3,046,149	\$ 114,689	\$ 219,889	\$ 4,011,351
TASK 3 - FY 2017	Task 3.1	Task 3.2	Task 3.3	Task 3.4	Task 3 Total
FHWA-FTA TPF	\$ 879,698	\$ 383,624	\$ 236,687	\$ 102,821	\$ 1,602,830
STP - Cat 7	\$ -	\$ -	\$ -	\$ 131,250	\$ 131,250
TxDOT State Funds	\$ -	\$ 45,000	\$ -	\$ -	\$ 45,000
TxDOT SPR	\$ -	\$ -	\$ -	\$ -	\$ -
FTA 5304/5307/5309/5339	\$ -	\$ 1,558,145	\$ -	\$ -	\$ 1,558,145
Other Local	\$ -	\$ 233,500	\$ -	\$ 32,750	\$ 266,250
TOTAL	\$ 879,698	\$ 2,220,269	\$ 236,687	\$ 266,821	\$ 3,603,475

TxDOT will apply transportation development credits sufficient to provide the match for FHWA PL-112 and FTA Section 5303 programs. As the credits reflect neither cash nor man-hours, they are not reflected in the funding tables.

AFTER AMENDMENTS

Task 3 Funding Summary Table

TASK 3 - FY 2016	Task 3.1	Task 3.2	Task 3.3	Task 3.4	Task 3 Total
FHWA-FTA TPF	\$ 630,625	\$ 603,205	\$ 114,689	\$ 204,889	\$ 1,553,407
STP - Cat 7	\$ -	\$ -	\$ -	\$ -	\$ -
TxDOT State Funds	\$ -	\$ 45,000	\$ -	\$ -	\$ 45,000
TxDOT SPR	\$ -	\$ -	\$ -	\$ -	\$ -
FTA 5304/5307/5309/5339	\$ -	\$ 2,140,244	\$ -	\$ -	\$ 2,140,244
Other Local	\$ -	\$ 257,700	\$ -	\$ 15,000	\$ 272,700
TOTAL	\$ 630,625	\$ 3,046,149	\$ 114,689	\$ 219,889	\$ 4,011,351
TASK 3 - FY 2017	Task 3.1	Task 3.2	Task 3.3	Task 3.4	Task 3 Total
FHWA-FTA TPF	\$ 879,698	\$ 583,624	\$ 221,687	\$ 117,821	\$ 1,802,830
STP - Cat 7	\$ -	\$ -	\$ -	\$ 131,250	\$ 131,250
TxDOT State Funds	\$ -	\$ 45,000	\$ -	\$ -	\$ 45,000
TxDOT SPR	\$ -	\$ -	\$ -	\$ -	\$ -
FTA 5304/5307/5309/5339	\$ -	\$ 1,558,145	\$ -	\$ -	\$ 1,558,145
Other Local	\$ -	\$ 233,500	\$ -	\$ 32,750	\$ 266,250
TOTAL	\$ 879,698	\$ 2,420,269	\$ 221,687	\$ 281,821	\$ 3,803,475

TxDOT will apply transportation development credits sufficient to provide the match for FHWA PL-112 and FTA Section 5303 programs. As the credits reflect neither cash nor man-hours, they are not reflected in the funding tables.

TASK IV CHANGES

Budget Change:

- **Subtask 4.1 2040 Regional Transportation Plan** - Personnel budget decreases by \$300,000 in TPF funds.
- **Subtask 4.2 Air Quality Planning** – Personnel budget increases by \$100,000 in TPF funds.

Task 4 Funding Summary Table – Total funding for Task 4 decreases by \$200,000 in TPF funds as displayed in the tables below.

BEFORE AMENDMENT

Task 4 Funding Summary Table

TASK 4 - FY 2016	Task 4.1	Task 4.2	Task 4.3	Task 4.4	Task 4 Total
FHWA-FTA TPF	\$ 1,231,001	\$ 525,944	\$ 354,448	\$ 276,214	\$ 2,387,607
STP - Cat 7	\$ 300,000	\$ -	\$ -	\$ 700,000	\$ 1,000,000
TxDOT State Funds	\$ -	\$ -	\$ -	\$ -	\$ -
TxDOT SPR	\$ -	\$ 16,000	\$ -	\$ -	\$ 16,000
FTA 5304/5307/5309/5339	\$ -	\$ -	\$ -	\$ -	\$ -
Other Local	\$ -	\$ -	\$ 20,000	\$ 175,000	\$ 195,000
TOTAL	\$ 1,531,001	\$ 541,944	\$ 374,448	\$ 1,151,214	\$ 3,598,607
TASK 4 - FY 2017	Task 4.1	Task 4.2	Task 4.3	Task 4.4	Task 4 Total
FHWA-FTA TPF	\$ 1,145,281	\$ 628,335	\$ 317,226	\$ 225,669	\$ 2,316,510
STP - Cat 7	\$ 900,000	\$ -	\$ -	\$ 750,000	\$ 1,650,000
TxDOT State Funds	\$ -	\$ -	\$ -	\$ -	\$ -
TxDOT SPR	\$ -	\$ 40,000	\$ -	\$ -	\$ 40,000
FTA 5304/5307/5309/5339	\$ -	\$ -	\$ -	\$ -	\$ -
Other Local	\$ 200,000	\$ -	\$ 25,000	\$ 187,500	\$ 412,500
TOTAL	\$ 2,245,281	\$ 668,335	\$ 342,226	\$ 1,163,169	\$ 4,419,010

TxDOT will apply transportation development credits sufficient to provide the match for FHWA PL-112 and FTA Section 5303 programs. As the credits reflect neither cash nor man-hours, they are not reflected in the funding tables.

AFTER AMENDMENT

Task 4 Funding Summary Table

TASK 4 - FY 2016	Task 4.1	Task 4.2	Task 4.3	Task 4.4	Task 4 Total
FHWA-FTA TPF	\$ 1,231,001	\$ 525,944	\$ 354,448	\$ 276,214	\$ 2,387,607
STP - Cat 7	\$ 300,000	\$ -	\$ -	\$ 700,000	\$ 1,000,000
TxDOT State Funds	\$ -	\$ -	\$ -	\$ -	\$ -
TxDOT SPR	\$ -	\$ 16,000	\$ -	\$ -	\$ 16,000
FTA 5304/5307/5309/5339	\$ -	\$ -	\$ -	\$ -	\$ -
Other Local	\$ -	\$ -	\$ 20,000	\$ 175,000	\$ 195,000
TOTAL	\$ 1,531,001	\$ 541,944	\$ 374,448	\$ 1,151,214	\$ 3,598,607
TASK 4 - FY 2017	Task 4.1	Task 4.2	Task 4.3	Task 4.4	Task 4 Total
FHWA-FTA TPF	\$ 845,281	\$ 728,335	\$ 317,226	\$ 225,669	\$ 2,116,510
STP - Cat 7	\$ 900,000	\$ -	\$ -	\$ 750,000	\$ 1,650,000
TxDOT State Funds	\$ -	\$ -	\$ -	\$ -	\$ -
TxDOT SPR	\$ -	\$ 40,000	\$ -	\$ -	\$ 40,000
FTA 5304/5307/5309/5339	\$ -	\$ -	\$ -	\$ -	\$ -
Other Local	\$ 200,000	\$ -	\$ 25,000	\$ 187,500	\$ 412,500
TOTAL	\$ 1,945,281	\$ 768,335	\$ 342,226	\$ 1,163,169	\$ 4,219,010

TxDOT will apply transportation development credits sufficient to provide the match for FHWA PL-112 and FTA Section 5303 programs. As the credits reflect neither cash nor man-hours, they are not reflected in the funding tables.

TASK V CHANGES

Budget Change:

- **Subtask 5.1 Major Corridor Studies** - Personnel budget decreases by \$15,000 in TPF funds.
- **Subtask 5.3 Multimodal Initiatives** - Personnel budget increases by \$15,000 in TPF funds.

Task 5 Funding Summary Table – Total funding for Task 5 does not change, as displayed in the tables below.

BEFORE AMENDMENT

Task 5 Funding Summary Table

TASK 5 - FY 2016	Task 5.1	Task 5.2	Task 5.3	Task 5 Total
FHWA-FTA TPF	\$ 109,838	\$ 64,864	\$ 43,447	\$ 218,149
STP - Cat 7	\$ -	\$ -	\$ -	\$ -
TxDOT State Funds	\$ 90,000	\$ -		\$ 90,000
TxDOT SPR	\$ -	\$ -	\$ -	\$ -
FTA 5304/5307/5309/5339	\$ -	\$ -	\$ -	\$ -
Other Local	\$ -	\$ -	\$ -	\$ -
				\$ -
TOTAL	\$ 199,838	\$ 64,864	\$ 43,447	\$ 308,149
TASK 5 - FY 2017	Task 5.1	Task 5.2	Task 5.3	Task 5 Total
FHWA-FTA TPF	\$ 110,340	\$ 63,749	\$ 41,122	\$ 215,211
STP - Cat 7	\$ -	\$ -	\$ 1,600,000	\$ 1,600,000
TxDOT State Funds	\$ -	\$ -	\$ -	\$ -
TxDOT SPR	\$ -	\$ -	\$ -	\$ -
FTA 5304/5307/5309/5339	\$ -	\$ -	\$ -	\$ -
Other Local	\$ -	\$ -	\$ -	\$ -
				\$ -
TOTAL	\$ 110,340	\$ 63,749	\$ 1,641,122	\$ 1,815,211

TxDOT will apply transportation development credits sufficient to provide the match for FHWA PL-112 and FTA Section 5303 programs. As the credits reflect neither cash nor man-hours, they are not reflected in the funding tables.

AFTER AMENDMENT

Task 5 Funding Summary Table

TASK 5 - FY 2016	Task 5.1	Task 5.2	Task 5.3	Task 5 Total
FHWA-FTA TPF	\$ 109,838	\$ 64,864	\$ 43,447	\$ 218,149
STP - Cat 7	\$ -	\$ -	\$ -	\$ -
TxDOT State Funds	\$ 90,000	\$ -		\$ 90,000
TxDOT SPR	\$ -	\$ -	\$ -	\$ -
FTA 5304/5307/5309/5339	\$ -	\$ -	\$ -	\$ -
Other Local	\$ -	\$ -	\$ -	\$ -
				\$ -
TOTAL	\$ 199,838	\$ 64,864	\$ 43,447	\$ 308,149

TASK 5 - FY 2017	Task 5.1	Task 5.2	Task 5.3	Task 5 Total
FHWA-FTA TPF	\$ 95,340	\$ 63,749	\$ 56,122	\$ 215,211
STP - Cat 7	\$ -	\$ -	\$ 1,600,000	\$ 1,600,000
TxDOT State Funds	\$ -	\$ -	\$ -	\$ -
TxDOT SPR	\$ -	\$ -	\$ -	\$ -
FTA 5304/5307/5309/5339	\$ -	\$ -	\$ -	\$ -
Other Local	\$ -	\$ -	\$ -	\$ -
				\$ -
TOTAL	\$ 95,340	\$ 63,749	\$ 1,656,122	\$ 1,815,211

TxDOT will apply transportation development credits sufficient to provide the match for FHWA PL-112 and FTA Section 5303 programs. As the credits reflect neither cash nor man-hours, they are not reflected in the funding tables.

FUNDING SUMMARY CHANGES

- The 2016-17 UPWP Funding Summary Table has been modified to reflect the above-mentioned changes. Total funding does not change, as displayed in the tables below.

BEFORE AMENDMENTS

2016-17 UPWP Funding Summary

	Task 1 Admin. / Mgmt.	Task 2 Data Modeling & Maintenance	Task 3 Short Range Planning	Task 4 Long-Range Planning	Task 5 Special Studies	TOTAL
FISCAL YEAR 2016	FTA 44.21	FTA 44.22	FTA 44.24/25	FTA 44.23	FTA 44.26/27	
FHWA-FTA TPF	\$ 2,841,181	\$ 2,796,183	\$ 1,553,407	\$ 2,387,607	\$ 218,149	\$ 9,796,527
STP - Cat 7	\$ -	\$ -	\$ -	\$ 1,000,000	\$ -	\$ 1,000,000
TxDOT State Funds	\$ -	\$ -	\$ 45,000	\$ -	\$ 90,000	\$ 135,000
TxDOT SPR	\$ -	\$ -	\$ -	\$ 16,000	\$ -	\$ 16,000
FTA 5304/5307/5309/5311/5339	\$ -	\$ -	\$ 2,140,244	\$ -	\$ -	\$ 2,140,244
Other Local	\$ -	\$ -	\$ 272,700	\$ 195,000	\$ -	\$ 467,700
TOTAL	\$ 2,841,181	\$ 2,796,183	\$ 4,011,351	\$ 3,598,607	\$ 308,149	\$ 13,555,471

	Task 1 Admin. / Mgmt.	Task 2 Data Modeling & Maintenance	Task 3 Short Range Planning	Task 4 Long-Range Planning	Task 5 Special Studies	TOTAL
FISCAL YEAR 2017	FTA 44.21	FTA 44.22	FTA 44.24/25	FTA 44.23	FTA 44.26/27	
FHWA-FTA TPF	\$ 3,090,196	\$ 4,308,362	\$ 1,602,830	\$ 2,316,510	\$ 215,211	\$ 11,533,110
STP - Cat 7	\$ -	\$ -	\$ 131,250	\$ 1,650,000	\$ 1,600,000	\$ 3,381,250
TxDOT State Funds	\$ -	\$ -	\$ 45,000	\$ -	\$ -	\$ 45,000
TxDOT SPR	\$ -	\$ -	\$ -	\$ 40,000	\$ -	\$ 40,000
FTA 5304/5307/5309/5311/5339	\$ -	\$ -	\$ 1,558,145	\$ -	\$ -	\$ 1,558,145
Other Local	\$ -	\$ 500,000	\$ 266,250	\$ 412,500	\$ -	\$ 1,178,750
TOTAL	\$ 3,090,196	\$ 4,808,362	\$ 3,603,475	\$ 4,419,010	\$ 1,815,211	\$ 17,736,255

TRANSPORTATION PLANNING FUNDS (TPF) ONLY

Transportation Planning Funds (FHWA PL-112/FTA 5303) funding estimate: \$ 17,893,804

Estimated FHWA PL-112 Carryover from FY 2014-15: \$ 8,288,574

TOTAL TPF AVAILABLE: \$ 26,182,378

TOTAL TPF PROGRAMED: \$ 21,329,637

UNDER (OVER) PROGRAMMED: \$ 4,852,741

By minute order, the Texas Transportation Commission authorizes the use of transportation development credits as TxDOT's non-Federal share for FHWA (PL-112) and FTA 5303 funds. As the credits reflect neither cash nor man-hours, they are not reflected in the funding tables.

AFTER AMENDMENTS

2016-17 UPWP Funding Summary

	Task 1	Task 2	Task 3	Task 4	Task 5	TOTAL
	Admin. / Mgmt.	Data Modeling & Maintenance	Short Range Planning	Long-Range Planning	Special Studies	
FISCAL YEAR 2016	FTA 44.21	FTA 44.22	FTA 44.24/25	FTA 44.23	FTA 44.26/27	
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STP - Cat 7	\$ -	\$ -	\$ -	\$ 1,000,000	\$ -	\$ 1,000,000
TxDOT State Funds	\$ -	\$ -	\$ 45,000	\$ -	\$ 90,000	\$ 135,000
TxDOT SPR	\$ -	\$ -	\$ -	\$ 16,000	\$ -	\$ 16,000
FTA 5304/5307/5309/5311/5339	\$ -	\$ -	\$ 2,140,244	\$ -	\$ -	\$ 2,140,244
Other Local	\$ -	\$ -	\$ 272,700	\$ 195,000	\$ -	\$ 467,700
TOTAL	\$ 2,841,181	\$ 2,796,183	\$ 4,011,351	\$ 3,598,607	\$ 308,149	\$ 13,555,471

	Task 1	Task 2	Task 3	Task 4	Task 5	TOTAL
	Admin. / Mgmt.	Data Modeling & Maintenance	Short Range Planning	Long-Range Planning	Special Studies	
FISCAL YEAR 2017	FTA 44.21	FTA 44.22	FTA 44.24/25	FTA 44.23	FTA 44.26/27	
FHWA-FTA TPF	\$ 3,090,196	\$ 4,308,362	\$ 1,802,830	\$ 2,116,510	\$ 215,211	\$ 11,533,110
STP - Cat 7	\$ -	\$ -	\$ 131,250	\$ 1,650,000	\$ 1,600,000	\$ 3,381,250
TxDOT State Funds	\$ -	\$ -	\$ 45,000	\$ -	\$ -	\$ 45,000
TxDOT SPR	\$ -	\$ -	\$ -	\$ 40,000	\$ -	\$ 40,000
FTA 5304/5307/5309/5311/5339	\$ -	\$ -	\$ 1,558,145	\$ -	\$ -	\$ 1,558,145
Other Local	\$ -	\$ 500,000	\$ 266,250	\$ 412,500	\$ -	\$ 1,178,750
TOTAL	\$ 3,090,196	\$ 4,808,362	\$ 3,803,475	\$ 4,219,010	\$ 1,815,211	\$ 17,736,255

TRANSPORTATION PLANNING FUNDS (TPF) ONLY

Transportation Planning Funds (FHWA PL-112/FTA 5303) funding estimate: \$ 17,893,804

Estimated FHWA PL-112 Carryover from FY 2014-15: \$ 8,288,574

TOTAL TPF AVAILABLE: \$ 26,182,378

TOTAL TPF PROGRAMMED: \$ 21,329,637

UNDER (OVER) PROGRAMMED: \$ 4,852,741

By minute order, the Texas Transportation Commission authorizes the use of transportation development credits as TxDOT's non-Federal share for FHWA (PL-112) and FTA 5303 funds. As the credits reflect neither cash nor man-hours, they are not reflected in the funding tables.